

# Properties and Natural Extensions of $p$ -adic $\beta$ -shifts

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Let  $\beta \in \mathbb{R}$  with  $\beta > 1$ . Define

$$T_\beta : I \rightarrow I \\ x \mapsto \{\beta x\}.$$

For  $x \in I$ , we can use  $T_\beta$  to find the  $\beta$  expansion

$$x = \sum_{k=1}^{\infty} \frac{d_k}{\beta^k}, \text{ where } d_k = \lfloor \beta T_\beta^{k-1}(x) \rfloor.$$

# Interval $\beta$ shifts

$$T_2 : I \rightarrow I$$

$$x \mapsto \{2x\}$$

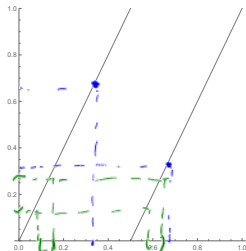
$$x = \frac{1}{3}$$

$$\beta x = \frac{2}{3}$$

$$d_1 = 0 \quad T_2\left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\beta T_2\left(\frac{1}{3}\right) = \frac{4}{3} = 1 + \frac{1}{3}$$

$$d_2 = 1 \quad T_2^2\left(\frac{1}{3}\right) = \frac{1}{3}$$



$$\sum_{k=1}^{\infty} \frac{d_k}{\beta^k} = \frac{0}{2} + \frac{1}{2^2} + \frac{0}{2^3} + \frac{1}{2^4} + \dots$$
$$0101\dots = .\overline{01}$$

# Interval $\beta$ shifts

$$T_\beta : I \rightarrow I$$

$$x \mapsto \{\beta x\}$$

$$\beta^3 - \beta^2 - \beta - 1 = 0, \beta = 1.83929 \dots$$

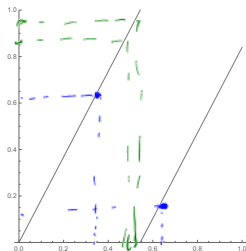
$$x = \frac{1}{3}$$

$$\beta \frac{1}{3} \approx 0.6131$$

$$d_1 = 0 \quad T_\beta\left(\frac{1}{3}\right) \approx 0.6131$$

$$\beta T_\beta\left(\frac{1}{3}\right) \approx 1.1277$$

$$d_2 = 1 \quad T_\beta^2\left(\frac{1}{3}\right) \approx 0.1277$$



# Interval $\beta$ shifts

$$\beta T_{\beta}^2\left(\frac{1}{3}\right) \approx 0.2348$$

$$d_3 = 0 \quad T_{\beta}^3\left(\frac{1}{3}\right) \approx 0.2348$$

$$\frac{0}{2} + \frac{1}{2^2} + \frac{0}{2^3} + \frac{0}{2^4} + \dots$$

$$\underline{\underline{.0100010110000}}$$

If  $\beta > 1$  is an integer, then

- $T_\beta$  preserves Lebesgue measure and
- $T_\beta$  is isomorphic to the one-sided shift on  $\beta$  symbols.

If  $\beta > 1$  is not an integer, then

- $T_\beta$  preserves an absolutely continuous probability measure and
- $T_\beta$  is weakly Bernoulli.

In both cases, the entropy of  $T_\beta$  is  $\log(\beta)$ .

# The $p$ -adic numbers

Let  $p$  be a prime number.

**$p$ -adic numbers:**

$$\mathbb{Q}_p = \left\{ \sum_{i=k}^{\infty} x_i p^i : k, x_i \in \mathbb{Z} \text{ and } 0 \leq x_i < p \text{ for all } i \geq k \right\}$$

**$p$ -adic integers:**

$$\mathbb{Z}_p = \left\{ \sum_{i=0}^{\infty} x_i p^i : 0 \leq x_i < p \text{ for all } i \geq 0 \right\}$$

**$p$ -adic absolute value:**

$$|x|_p = \begin{cases} p^{-\text{ord}_p(x)} & \text{if } x \neq 0, \\ 0 & \text{if } x = 0. \end{cases}$$

If  $x = \sum_{i=k}^{\infty} x_i p^i \in \mathbb{Q}_p$ , then let

- $[x]_p = \sum_{i=0}^{\infty} x_i p^i$  be the  $p$ -adic integer part and
- $\{x\}_p = \sum_{i=k}^{-1} x_i p^i$  be the  $p$ -adic fractional part.



Let  $\beta \in \mathbb{Q}_p$  with  $|\beta|_p > 1$ . Define

$$\begin{aligned} S_\beta : \mathbb{Z}_p &\rightarrow \mathbb{Z}_p \\ x &\mapsto \lfloor \beta x \rfloor_p. \end{aligned}$$

For  $x \in \mathbb{Z}_p$ , we can use  $S_\beta$  to find the  $\beta$  expansion

$$x = \sum_{k=1}^{\infty} \frac{d_k}{\beta^k}, \text{ where } d_k = \{\beta S_\beta^{k-1}(x)\}_p.$$

$$p=2$$

$$S_{1/2} : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$$

$$x \mapsto \left\lfloor \frac{1}{2}x \right\rfloor_p$$

$$x = \frac{1}{3} = \overset{1}{1} + \overset{1}{2} + \overset{0}{0 \cdot 2^2} + \overset{1}{2^3} + \overset{0}{2^5} + \dots$$

$$\beta x = \frac{1}{2} + 1 + 2^2 + 2^4 + 2^6 + \dots$$

$$d_1 = \frac{1}{2}$$

$$S_{1/2}(\frac{1}{3}) = \overset{1}{1} + \overset{0}{2^2} + \overset{1}{2^4} + \overset{0}{2^6} + \dots$$

$$\beta S_{1/2}(\frac{1}{3}) = \frac{1}{2} + 2 + 2^3 + 2^5 + \dots$$

$$d_2 = \frac{1}{2}$$

$$S_{1/2}^2(\frac{1}{3}) = \overset{0}{2} + \overset{1}{2^3} + \overset{0}{2^5} + \dots$$

$$\beta S_{\gamma_2}^2\left(\frac{1}{3}\right) = \underbrace{1 + 2^2 + 2^4 + 2^6 + \dots}$$

$$d_2 = 0$$

$$S_{\gamma_2}^3\left(\frac{1}{3}\right) = \downarrow$$

$$\sum_{k=1}^{\infty} \frac{d_k}{\beta^k} = \frac{1/2}{1/2} + \frac{1/2}{1/2^2} + \frac{0}{1/2^3} + \frac{1/2}{1/2^4} + \frac{0}{1/2^5} + \dots$$

$$= 1 + 2 + 2^3 + 2^5 + \dots$$

$$\cdot \frac{1}{2} \overline{\frac{1}{2} 0}$$

# $p$ -adic $\beta$ shifts

$$p = 2$$

$$S_\beta : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$$

$$x \mapsto [\beta x]_p$$

$$\beta^2 + \frac{1}{2}\beta + \frac{1}{2} = 0, \quad \beta = \frac{1}{2} + 2 + 2^4 + 2^6 + 2^7 + 2^8 + \dots$$

$$x = \frac{1}{3}$$

$$\beta x$$

$$d_1 = \frac{1}{2}$$

$$S_\beta\left(\frac{1}{3}\right) = 1 + 2 + 2^3 + 2^4 + \dots$$

$$\beta S_\beta\left(\frac{1}{3}\right)$$

$$d_2 = \frac{1}{2}$$

$$S_\beta^2\left(\frac{1}{3}\right) = 1 + 2 + 2^4 + 2^5 + 2^6 + \dots$$

$$\beta S_\beta^2\left(\frac{1}{3}\right)$$

$$d_3 = \frac{1}{2}$$

$$S_{\beta}^3\left(\frac{1}{3}\right) = 1 + 2 + 2^2 + 2^3 + \dots$$

$$. \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} 0 0 \frac{1}{2} 0 \frac{1}{2} 0$$

Let  $\beta = 1/p \in \mathbb{Q}_p$ . Then

$$\begin{aligned} S_{1/p}(x) &= \left[ \frac{1}{p}(x_0 + x_1 p + x_2 p^2 + x_3 p^3 + \dots) \right]_p \\ &= \left[ x_0 \frac{1}{p} + x_1 + x_2 p + x_3 p^2 + \dots \right]_p \\ &= x_1 + x_2 p + x_3 p^2 + \dots \\ &= \sigma(x). \end{aligned}$$

From the last slide,

- $S_{1/p}(x) = \sigma(x)$

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For integers  $n \geq 1$ ,

- $S_{1/p^n}(x) = \sigma^n(x)$ .



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For integers  $n \geq 1$ ,

- $S_{1/p^n}(x) = \sigma^n(x)$ .

If  $\beta \in \mathbb{Q}_p$  with  $|\beta|_p = p^n > 1$ , then there exists  $b \in \mathbb{Z}_p$  with  $|b|_p = 1$  such that  $\beta = b/p^n$ .

- $S_\beta(x) = \sigma^n(bx)$ .

Let  $|\beta|_p > 1$ .

d'Ambros, Everest, Miles, Ward, 2000

- The topological entropy of the  $p$ -adic  $\beta$ -shift is  $\log |\beta|_p$
- With respect to Haar measure, the  $p$ -adic  $\beta$ -shift is invariant and ergodic.

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Kingsbery, Levin, Preygel, Silva, 2011

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- If  $|\beta|_p = p^n$ , then  $S_\beta$  is topologically and measurably isomorphic to  $\sigma^n$ .

Scheicher, Sirvent, Surer, 2015

- Connect the arithmetic properties of  $\beta$  to the arithmetic properties of points that have a finite or periodic  $\beta$  expansion.

## Definition

A measure-preserving dynamical system  $(Y, \mathcal{C}, \nu, S)$  is a **factor** of  $(X, \mathcal{F}, \mu, T)$  if there exists  $\psi : X \rightarrow Y$  that is measurable, surjective, and satisfies

- 1  $\psi^{-1} \mathcal{C} \subset \mathcal{F}$ ,
- 2  $\psi \circ T = S \circ \psi$ , and
- 3  $\mu(\psi^{-1} E) = \nu(E)$  for all  $E \in \mathcal{C}$ .

## Definition

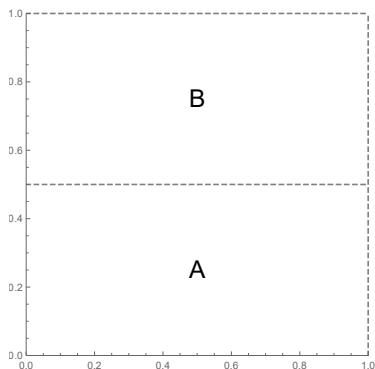
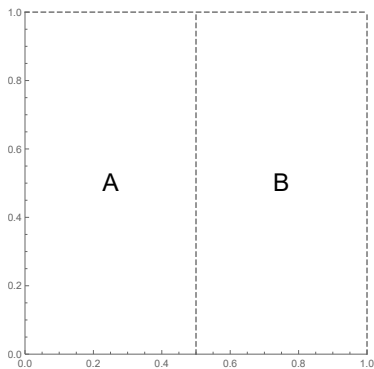
An invertible measure-preserving dynamical system  $(X, \mathcal{F}, \mu, T)$  is a **natural extension** of  $(Y, \mathcal{C}, \nu, S)$  if  $Y$  is a factor of  $X$  and  $\bigvee_{n=0}^{\infty} T^n \psi^{-1} \mathcal{C} = \mathcal{F}$ .

# Natural extensions for interval $\beta$ shifts

Dajani, Kraaikamp, Solomyak, 1996.

$$\mathcal{T}_\beta(x, y) = \left( \{\beta x\}, \frac{1}{\beta}(\lfloor \beta x \rfloor + y) \right)$$

$$\beta = 2$$

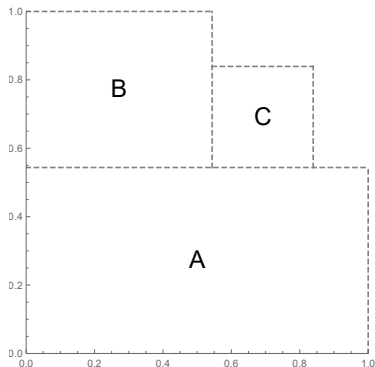
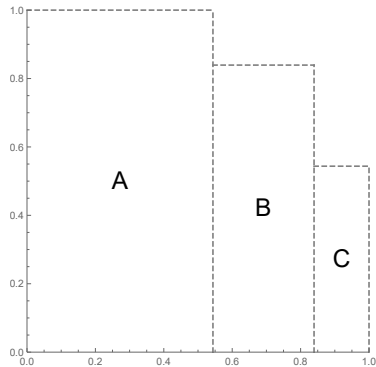


# Natural extensions for interval $\beta$ shifts

Dajani, Kraaikamp, Solomyak, 1996.

$$\mathcal{T}_\beta(x, y) = \left( \{\beta x\}, \frac{1}{\beta}(\lfloor \beta x \rfloor + y) \right)$$

$$\beta^3 - \beta^2 - \beta - 1 = 0, \beta \approx 1.83929$$



# Natural extensions for $\beta$ -shifts

Dajani, Kraaikamp, Solomyak, 1996.

For the interval:

$$\mathcal{T}_\beta(x, y) = \left( \{\beta x\}, \frac{1}{\beta}(\lfloor \beta x \rfloor + y) \right)$$

For the  $p$ -adic integers:

$$\mathcal{S}_\beta(x, y) = \left( \underbrace{\lfloor \beta x \rfloor_p}_u, \frac{1}{\beta}(\underbrace{\{\beta x\}_p}_v + y) \right)$$

$$\mathcal{S}_\beta^{-1}(u, v) = \left( \frac{1}{\beta}(\{\beta v\}_p + u), \lfloor \beta v \rfloor_p \right)$$

Key idea:  $\{\beta x\}_p = \{\beta v\}_p$

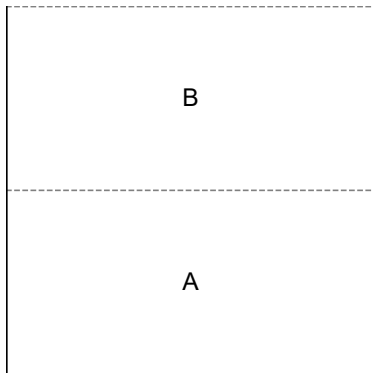
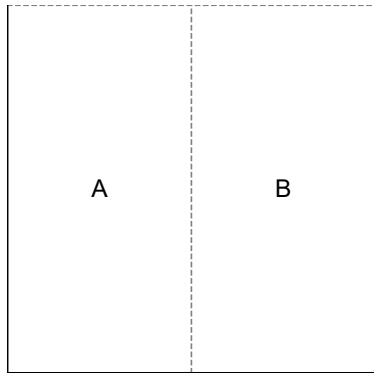
$$\beta v = \{\beta x\}_p + y \in \mathbb{Z}_p$$
$$\{\beta v\}_p = \{\beta x\}_p$$



# Natural extensions for $p$ -adic $\beta$ shifts

Consider the natural extension of  $S_{1/2}$  on  $\mathbb{Z}_2$ .

$$S_{1/2}(x, y) = \left( \left[ \frac{1}{2}x \right]_2, 2 \left( \left\{ \frac{1}{2}x \right\}_2 + y \right) \right)$$

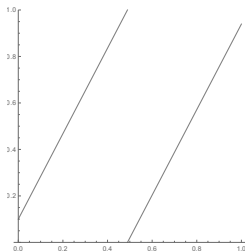


# Interval $\alpha, \beta$ shifts

Let  $0 < \alpha < 1$  and  $\beta > 1$ . Define

$$T_{\alpha, \beta} : I \rightarrow I \\ x \mapsto \{\alpha + \beta x\}.$$

$$\alpha = 0.1, \beta^3 - \beta^2 - \beta - 1 = 0, \beta \approx 1.83929$$



Let  $\alpha, \beta \in \mathbb{Q}_p$  with  $|\beta|_p = p^n > 1$ . Define

$$\begin{aligned} S_{\alpha, \beta} : \mathbb{Z}_p &\rightarrow \mathbb{Z}_p \\ x &\mapsto [\alpha + \beta x]_p. \end{aligned}$$

As before, we can find  $a, b \in \mathbb{Z}_p$  with  $|b|_p = 1$  such that  $S_{\alpha, \beta}(x) = \sigma^n(a + bx)$ . If  $|x - y|_p \leq 1/p^n$ , then

$$\begin{aligned} |S_{\alpha, \beta}(x) - S_{\alpha, \beta}(y)|_p &= |\sigma^n(a + bx) - \sigma^n(a + by)|_p \\ &= p^n |(a + bx) - (a + by)|_p \\ &= p^n |x - y|_p. \end{aligned}$$

# Locally scaling transformations

Kingsbery, Levin, Preygel, Silva, 2011

## Definition

A transformation  $S : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  is  $(p^{-n}, p^n)$ -locally scaling map if  $|x - y|_p \leq p^{-n}$  implies that  $|S(x) - S(y)|_p = p^n |x - y|_p$ .

## Theorem (Kingsbery et al. 2011)

*Let  $S : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  be a  $(p^{-n}, p^n)$ -locally scaling map. Then  $S$  is topologically and measurably isomorphic to  $\sigma^n$ .*

Thus, if  $|\beta|_p = p^n$ , then  $S_{\alpha, \beta}$  is isomorphic to  $\sigma^n$ .

## Theorem (F. 2019)

*The transformation  $S : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  is a  $(p^{-n}, p^n)$ -locally scaling map if, and only if, there exists an isometry  $f$  on  $\mathbb{Z}_p$  such that  $S = \sigma^n \circ f$ .*

**Idea:** For  $x = \sum_{i=0}^{\infty} x_i p^i$  in  $\mathbb{Z}_p$ , take  $f(x) = p^n S(x) + \sum_{i=0}^{n-1} x_i p^i$ .

# Natural extension for scaling maps

## Theorem (F. 2019)

Suppose that  $S$  on  $(\mathbb{Z}_p, \mathcal{B}, \lambda)$  satisfies  $S = \sigma^n \circ f$  for some integer  $n \geq 1$  and an isometry  $f$  on  $(\mathbb{Z}_p, |\cdot|_p)$ . Then the natural extension of  $(\mathbb{Z}_p, \mathcal{B}, \lambda, S)$  is  $(\mathbb{Z}_p \times \mathbb{Z}_p, \mathcal{B} \times \mathcal{B}, \lambda \times \lambda, S)$ , where

$$S : \mathbb{Z}_p \times \mathbb{Z}_p \rightarrow \mathbb{Z}_p \times \mathbb{Z}_p$$
$$(x, y) \mapsto \left( \left[ \frac{f(x)}{p^n} \right]_p, f^{-1} \left( p^n \left( \left\{ \frac{f(x)}{p^n} \right\}_p + y \right) \right) \right)$$

Using the  $f$  from the proof of the structure theorem:

$$S : \mathbb{Z}_p \times \mathbb{Z}_p \rightarrow \mathbb{Z}_p \times \mathbb{Z}_p$$
$$(x, y) \mapsto \left( S(x), f^{-1} \left( p^n y + \sum_{i=0}^{n-1} x_i p^i \right) \right)$$

**Ball of radius  $p^n$  centered at  $a$ :**

$$B_{p^n}(a) = \{x \in \mathbb{Z}_p : |x - a|_p \leq p^{-n}\}.$$

**i.i.d. product measures:**

$$\mu = \prod_{i=0}^{\infty} \{q_0, q_1, \dots, q_{p-1}\}$$

$$\mu \left( B_{p^{-n}} \left( \sum_{i=0}^{n-1} a_i p^i \right) \right) = \prod_{i=0}^{n-1} q_{a_i}.$$

Multiplication maps:

$$M_b : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$$
$$x \mapsto bx$$

## Theorem (F.)

*For an i.i.d. product measure  $\mu$  on  $\mathbb{Z}_p$  defined by a probability vector  $(q_0, q_1, \dots, q_{p-1})$ , the multiplication  $M_{-1}$  is nonsingular with respect to  $\mu$  if and only if the probability vector is palindromic.*

*Moreover, if  $b \in \mathbb{Z}_p$  is a rational number with  $|b|_p = 1$  and  $b \neq \pm 1$ , then the multiplication  $M_b$  is nonsingular with respect to  $\mu$  if and only if  $\mu$  is Haar measure.*



## Theorem (F.)

*Let  $\mu$  be an i.i.d. product measure on  $\mathbb{Z}_p$  defined by a probability vector  $(q_0, q_1, \dots, q_{p-1})$ .*

*If  $\beta = 1/p^n$  for some integer  $n > 1$ , then  $T_\beta$  preserves  $\mu$ .*

*If  $\beta = -1/p^n$  for some integer  $n > 1$ , then  $T_\beta$  is nonsingular with respect to  $\mu$  if and only if the probability vector is palindromic.*

*If  $\beta = b/p^n$ , where  $b \in \mathbb{Z}_p$  is a rational number with  $|b|_p = 1$  and  $b \neq \pm 1$ , then  $T_\beta$  is nonsingular with respect to  $\mu$  if and only if  $\mu$  is Haar measure.*